

1 **Landscape development of the Himalayan-Tibetan orogen: a review**

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5

6 **Abstract**

7 The Himalayan-Tibetan orogen provides one of the best natural laboratories to examine
8 the nature and dynamics of landscape development within continental-continental
9 collisions zones. Many new tectonic-climatic-geomorphic theories and models have
10 emerged and/or have been greatly influenced as a consequence of the study of the region
11 and the quest to understand its geomorphic development. These include studies of the
12 interactions among tectonics, climate and surficial processes, notably, the influence of
13 climate on surface uplift by denudational unloading; the limiting of topography by
14 glaciation (the glacial buzz-saw); localized uplift at syntaxes by enhanced fluvial and
15 glacial erosion that, in turn, weaken the lithosphere, enhancing surface uplift and
16 exhumation (the tectonic aneurysm); climate-driven out-of-sequence thrusting and crustal
17 channel flow; glacial damming leading to differential erosion and uplift; paraglaciation;
18 and the influence of extreme events such as earthquakes, landslides, and floods as major
19 formative processes. The development of new technologies, including satellite remote
20 sensing and global positioning systems, and analytical methods such as numerical dating
21 is now allowing these theories and models to be tested and will inevitably lead to new
22 paradigms.

23

24 **Keywords:** Himalaya; Tibet; geomorphology; landscape development; tectonics;
25 glaciation; paraglaciation; earthquakes

26

27 The Himalaya and Tibet provide one of the best natural laboratories to examine the
28 nature and dynamics of landscape development within continental-continental collisions
29 zones. Not surprisingly, many new geomorphic theories and models have emerged as the
30 consequence of the study of the region and the quest to understand its geomorphic
31 development. This paper will review the main studies that have been undertaken that
32 have lead to new insights into how mountain belts develop which underlie the new
33 paradigms that landscape development in mountains is a consequence of the interactions
34 among tectonics, climate, and Earth surface processes. The studies will be broadly
35 discussed chronologically to help understand how the different theories and models have
36 evolved.

37

38 **Early exploration**

39 The earliest studies in the Himalayan-Tibetan orogen were undertaken during the late 19th
40 and early 20th centuries as western explorer-naturalists travelled the region documenting
41 both its cultural and scientific characteristics (e.g. Shaw 1871; Drew 1875). Many of
42 these explorations likely had ulterior motives, being part of the Great Game, the political
43 intrigue between British India and Russia (Keay 1996). Much emphasis was placed on
44 surveying the region, some of which resulted in profound discoveries such as the gravity
45 surveys by Airy (1855) and Pratt (1859) that lead to the realization that the Himalaya and
46 other mountains had deep, low-density roots.

47

48 Probably the most notable geomorphic study was undertaken by Drew (1873, 1875) in
49 the Indus valley, who noted numerous glacial, lacustrine, and alluvial fan landforms. In
50 his 1873 paper, Drew was the first to use the term “alluvial fan” and he was influenced by
51 the earlier work of Surell (1841) and Haast (1864) in the Alps and Canterbury Plains of
52 New Zealand (Fig. 1). Drew’s work focused on describing the morphology of the
53 landforms, but little attention was given to their sedimentology. Nevertheless, he
54 discussed their likely origins and emphasized the important evidence for past glaciation
55 throughout Kashmir. Later studies focused on examining the glacial geologic record and
56 included the notable works of Dainelli, (1922, 1934, 1935), Norin (1925), Trinkler (1930),
57 Misch (1935), de Terra & Paterson (1939) and Paffen *et al.* (1956) for regions throughout
58 the western end of the Himalayan–Tibetan orogen.

59

60 Klute (1930) provides the first comprehensive map of the extent of glaciation for the Last
61 Glacial for the entire Himalayan–Tibetan region. At the same time these studies of
62 landforms and glacial geology were being undertaken, bedrock and structural geology
63 were being examined by numerous geologists, most notably the Swiss geologist Augusto
64 Gansser. Much of Gansser’s work was published in his seminal book “Geology of the
65 Himalaya” (Gansser 1964). However, these “hard rock” studies had to await the
66 development of plate tectonic theory in the late 1960s and 1970s before a solid
67 framework was available to appreciate their significance and to provide a foundation for
68 tectonic, geomorphic, and landscape development studies.

69

70 **Ice Age studies**

71 Early exploration of the Himalaya was concurrent with the development of glacial
72 geology and the realization that the world had experienced extensive glaciation in the
73 recent past. Many observations were made on glacial geology and attempts were made to
74 reconstruct former ice extent throughout the Himalaya. These early studies of glaciation
75 were driven by a desire to correlate Himalayan glacial successions with those recognized
76 in Europe, notably the seminal work of Penck & Brunkner (1909) in the Alps, who
77 argued that four glaciations characterized the Quaternary. As a consequence, numerous
78 researchers assigned their glacial geological evidence to four glaciations throughout areas
79 of Tibet and the Himalaya (e.g. de Terra & Paterson 1939; Porter 1970; Zhang & Shi
80 1980).

81

82 The first truly modern comprehensive studies of glaciation were undertaken by von
83 Wissmann (1959) and Frenzel (1960), who used the observations of the earliest explorers
84 to construct a regional synthesis of the glaciation of Tibet and the Himalaya. They both
85 suggested that during glacial times, ice caps expanded and extensive valley glacial
86 systems developed throughout much of the Himalaya, Pamir, Kunlun, and Qilian Shan.
87 This view was questioned by Kuhle (1985, 1986, 1987, 1988a, 1988b, 1990a, 1990b,
88 1991, 1993, 1995) who argued for an extensive ice sheet covering most of the Tibetan
89 Plateau during the Last Glacial. This Tibetan ice sheet hypothesis was important
90 throughout the 1980s and 1990s in driving much of the study of the Quaternary glacial
91 geology of Tibet and adjacent regions. Subsequently, however, numerous studies have
92 disproven the existence an extensive ice sheet on Tibet (Derbyshire 1987; Zheng 1989;

93 Burbank & Kang 1991; Derbyshire *et al.* 1991; Shi 1992; Hovermann *et al.* 1993a,
94 1993b; Lehmkuhl 1995, 1997, 1998; Rutter 1995; Lehmkuhl *et al.* 1998; Zheng & Rutter
95 1998; Schäfer *et al.* 2002; Owen *et al.* 2003). In particular, as Owen *et al.* (2008) and
96 Seong *et al.* (2008) point out, the differences in interpretation between Kuhle (1985,
97 1986, 1987, 1988a, b, 1990a,b, 1991, 1993, 1995) and other researchers is a consequence
98 of their differing interpretation of landforms and sediments, the misuse of equilibrium-
99 line altitudes for determining former ice extent, and poor chronological control. It is now
100 generally accepted that a large ice sheet did not cover the Tibetan Plateau, at least not
101 during the past few glacial cycles. Figure 2 illustrates different reconstructions for the
102 extent of glaciation through the Himalaya and Tibet.

103

104 Researchers began to appreciate the complexity of glaciation in the Himalaya and Tibet
105 with the development of marine oxygen isotope stratigraphy for the Quaternary during
106 the 1980s; specifically, that there were many more than four glaciations during the
107 Quaternary Ice Age. However, defining the timing of glaciation in the Himalayan-
108 Tibetan orogen was hindered due to the lack of numerical ages on moraines and
109 associated landforms. This was mainly due to the scarcity of organic matter necessary for
110 radiocarbon dating, the standard dating technique for Quaternary landforms and
111 sediments at that time. Rothlisberger & Geyh (1985), however, were able to obtain
112 enough organic material from moraines in the Himalaya and Karakoram to determine 68
113 radiocarbon ages to define ~10 glacial advances in the Late Quaternary. Subsequent
114 studies, however, have only provided a few additional radiocarbon ages (e.g., Derbyshire
115 *et al.* 1991; Lehmkuhl 1997).

116

117 The development of optically stimulated luminescence (OSL) and terrestrial cosmogenic
118 nuclide (TCN) surface exposure dating since the late 1980s have allowed workers to date
119 glacial and associated landforms that were previously undatable. Furthermore, the
120 techniques have allowed landforms older than >30 ka (the limit of standard radiocarbon
121 dating) to be defined, and in some cases, to be taken back to many hundreds of thousands
122 years. These methods have resulted in a plethora of ages on moraines throughout the
123 Himalaya and Tibet (see summary in Owen *et al.* 2008)

124

125 Owen *et al.* (2008) highlighted the limitations of OSL and TCN methods by reevaluating
126 published glacial chronologies throughout the Himalaya and Tibet. These limits, for
127 example, include geological factors and uncertainties associated with modeling the
128 appropriate production rates for TCN dating and variation in dose rates for OSL dating.
129 The summary provided by Owen *et al.* (2008) supports the view that expanded ice caps
130 and extensive valley glacier systems existed throughout the Himalaya and Tibet during
131 the late Quaternary, but it is not yet possible to determine whether or not the timing of the
132 extent of maximum glaciation was synchronous throughout the entire region. The data do
133 show considerable variations in the extent of glaciation from one region to the next
134 during a glaciation. Glaciers throughout monsoon-influenced Tibet, the Himalaya, and
135 the Transhimalaya are likely synchronous both with climate change resulting from
136 oscillations in the South Asian monsoon and with Northern Hemisphere cooling cycles.
137 In contrast, glaciers in Pamir in the far western regions of the Himalayan–Tibetan orogen
138 advanced asynchronously to regions that are monsoon-influenced and appear to be

139 mainly in phase with the Northern Hemisphere cooling cycles. Owen *et al.* (2008) also
140 pointed out that broad patterns of local and regional variability based on equilibrium-line
141 altitudes have yet to be fully assessed, but have the potential to help define changes in
142 climatic gradients over time. Clearly, accurate reconstructions of the former extent and
143 timing of glaciation is important for assessing and quantifying the tectonic-climate-
144 landscape models.

145

146 **Paraglaciatiion**

147 In the 1970s, studies on Late Quaternary glaciofluvial deposits on Baffin Island and in
148 British Columbia lead to the realization that rates of sedimentation increase significantly
149 during times of deglaciation (Ryder 1971a, 1971b; Church & Ryder 1972). This lead to
150 the concept of paraglaciation, which argues that nonglacial processes are directly
151 conditioned by glaciation and that landscape changes are greatest during times of
152 deglaciation (Ryder 1971a, 1971b; Church & Ryder 1972; and reviewed by Ballantyne
153 2002, 2004). The term paraglacial was applied to describe the processes that operate and
154 the landforms that are produced during deglaciation as the landscape readjusts to new
155 climatic and environmental conditions. Paraglacial time was considered to be the period
156 when paraglacial processes are dominant, mainly fluvial erosion and resedimentation, and
157 mass movement. Paraglacial processes are particularly important in the transfer and
158 resedimentation of glacial and proglacial sediments within and beyond the high-mountain
159 landscapes, thus helping to contribute to the net denudation of high mountains.

160

161 When valley fill successions, river terraces, and alluvial fans began to be studied in the
162 Karakoram during late 1980s and early 1990s, the concept of paraglaciation was applied
163 to help understand their origin (Owen 1989; Derbyshire and Owen 1990; Owen &
164 Derbyshire 1993). Subsequently, many studies have argued for the importance of
165 paraglaciation in the landscape development of the other regions of the Himalayan-
166 Tibetan orogen (Owen *et al.* 1995, 2006; Owen & Sharma 1995; Owen *et al.* 2002; Yang
167 *et al.* 2002; Barnard *et al.* 2004a, 2004b, 2006a, 2006b; Seong *et al.* 2009a, 2009b).
168 Figure 3 illustrates how the relative composition of landforms changes within Himalayan
169 valleys that were deglaciated at different times, showing a change from moraine-
170 dominated to terrace- and fan-dominated with time.

171

172 It is now generally accepted that landscapes in the Himalayan-Tibetan orogen have been
173 continuously readjusting to changing climatic and environmental conditions associated
174 with the high frequency of the oscillations of glaciers (on millennial timescales)
175 throughout the late Quaternary.

176

177 Glacial lake outburst floods (GLOFs) constitute one of the most dramatic manifestations
178 of paraglaciation. They result from rapid drainage of moraine-dammed or supraglacial
179 lakes and in recent years have attracted much attention due to the potential catastrophic
180 impact they may have on settlements throughout the Himalaya. Their frequency may
181 likely increase as glaciers continue to melt and retreat due to human-induced climate
182 change (Reynolds 2000; Richards & Reynolds 2000; Benn *et al.* 2001). Evidence for past
183 GLOFs is abundant throughout the Himalaya and includes mega-ripples, giant boulder

184 clusters, scour pools, and large terraces (Coxon *et al.* 1996, Barnard *et al.* 2006a; Seong
185 *et al.* 2009a).

186

187 **Plate tectonics and landscape development**

188 The Himalaya and Tibet received much attention as plate tectonic theory developed in the
189 late 1960s and early 1970s, but logistical and political access to the region was somewhat
190 limited, hindering study of the region. In the late 1970s, a series of new roads were
191 constructed to traverse the Himalaya and Tibet permitting relatively easy access to the
192 region (Owen 1996). Furthermore, China began to strengthen its international research
193 collaboration, allowing more access to Tibet and adjacent regions. It was not until the
194 early 1980s, however, that researchers actually began to describe tectonic landforms and
195 interpret their origin.

196

197 Much of the early work on tectonic geomorphology was stimulated by the realization that
198 a significant component of the collision of the Indian and Asian continental lithospheric
199 plates might be accommodated by lateral extrusion of Tibet along major strike-slip faults
200 (Tapponnier & Molnar 1976; Fig. 4). Faulted landforms, notably faulted moraines, were
201 examined to help estimate displacement rates along the major strike-slip faults
202 (Tapponnier & Molnar 1977; Molnar & Tapponnier 1978; Armijo *et al.* 1986).

203

204 Other significant tectonic geomorphic work included the study of Seeber & Gornitz
205 (1983) who analyzed the longitudinal profiles of major rivers that drained across the

206 Himalaya, which emphasized important tectonic controls on knick point development and
207 drainage patterns.

208

209 As the structure of the Himalaya and Tibet began to be mapped and examined by
210 integrating thermochronologic and geobarometric methods, areas of differential uplift
211 were identified. Most notable was focused uplift around the Himalayan western and
212 eastern syntaxes, particularly Nanga Parbat (Zeitler. 1985). This lead to the initial
213 examination of the tectonic geomorphology of these zones of focused uplift (e.g. Owen
214 1988a; Shroder *et al.* 1989). It soon became apparent that relating landforms and
215 deformed sediments to tectonic processes was not simple. Many of the Himalayan
216 landforms are polygenetic in origin (Owen 1989) and therefore any tectonic influences
217 could not easily be elucidated by simple study of landforms. In addition, glacial and mass
218 movement processes in Himalayan-Tibetan environments produce deformation structures
219 that are easily misinterpreted as tectonic, such as the glaciotectionised deposits throughout
220 the Skardu Basin and landslides along the middle Indus valley in Northern Pakistan
221 (Owen 1988a, 1988b; Owen & Derbyshire 1988).

222

223 Over the last two decades new mechanical and thermomechanical models to explain the
224 tectonic evolution of Himalayan-Tibetan orogen have been proposed. These have evolved
225 from an orogenic wedge model (notably that of Burchfiel *et al.* 1992), to pervasive
226 ductile flow, indicated by folded isograds within a tectonic wedge (Grujic *et al.* 1996), to
227 channel flow of the middle crust (Jamieson *et al.* 2004), with variants of mid-crustal flow
228 based on numerical modeling (Beaumont *et al.* 2004). Harris (2007) describes the history

229 and essential components of these models and summarizes the current view, which
230 proposes movement of a low-viscosity crustal layer in response to topographic loading.
231 This potential mechanism results in the eastward flow of the Asian lower crust causing
232 the peripheral growth of the Tibetan Plateau and the southward flow of the Indian middle
233 crust and its extrusion along the Himalayan topographic front. Harris (2007) stressed that
234 thermomechanical models for channel flow link extrusion to focused orographic
235 precipitation at the surface. These models are exciting because they recognize the links
236 among tectonics, climate, and surficial processes.

237

238 In addition to the tectonic models for the Himalaya, numerous models have been
239 proposed for the evolution of the high elevations in Tibet. Tapponnier *et al.* (2001)
240 summarized two end members: 1) continuous thickening and widespread viscous flow of
241 the crust and mantle beneath the entire plateau; and 2) time-dependent, localized shear
242 between coherent lithosphere blocks. Tapponnier *et al.* (2001) favor the latter and argue
243 for dominant growth of the Tibetan Plateau toward the east and northeast. This in turn has
244 led to the development of new mountain ranges and basins, with the basins becoming
245 progressively filled to form flat, high plains.

246

247 **Interactions between climate, glacial erosion, uplift and topography**

248 During the late 1980s and 1990s, much attention was focused on the potential role of
249 uplift of the Tibetan-Himalayan orogen in driving Cenozoic global cooling and the
250 growth of large continental ice sheets during the Late Tertiary and Quaternary (Raymo *et*
251 *al.* 1988; Ruddiman & Kutzbach 1989, 1991; Prell & Kutzbach 1992; Raymo &

252 Ruddiman 1992). The uplift of the orogen was thought to have altered atmospheric
253 circulation and to have changed the concentrations of gases in the atmosphere as a result
254 of changes in biogeochemical cycles associated with the increased weathering of newly
255 exposed rock surfaces, which, in turn, lead to global cooling. Controversy ensued,
256 however, over defining the timing and magnitude of Tibetan-Himalayan uplift and the
257 complex feedbacks involved in the biogeochemical cycles and climate (Molnar &
258 England 1990; Dupont-Nivet *et al.* 2008; Garzzone 2008). In a broad sense, however, it is
259 generally accepted that uplift of the orogen probably played a significant role, in addition
260 to many other factors, in Cenozoic cooling (Ruddiman 1997; Owen 2006). In particular,
261 the uplift of the orogen likely helped initiate the south Asian monsoon at ~8 Ma (Burbank
262 *et al.* 1993), which has had a profound impact on the dynamics of surficial processes in
263 the Central Asia.

264

265 The high elevation of the Himalayan-Tibetan orogen makes it the most glaciated region
266 outside of the polar realm. Furthermore, the widespread evidence of more extensive
267 glaciation in the past made it inevitable that glaciers were considered important agents in
268 the denudation that lead to long term lowering of the orogen and limited the orogen's
269 average elevation (Broecker *et al.* 1990). This view was challenged, however, by Molnar
270 & England (1990) who suggested that glacial erosion generating local relief in the cores
271 of mountain ranges might actually initiate tectonic uplift because of erosional unloading
272 of the crust in the cores of ranges (Fig. 5). Furthermore, Molnar & England (1990)
273 suggested that the onset of the Quaternary Ice Age and enhanced glaciation may have
274 lead to accelerated uplift of Himalayan and Tibetan peaks as a consequence of great

275 glacial and associated erosion. Therefore, in Molnar & England (1990) view there is
276 potential for a significant positive feedback loop in which glacial erosion leads to uplift,
277 which, in turn, results in larger glaciers and more erosion and hence more peak uplift.

278

279 Molnar & England's (1990) paper stimulated much debate and similar connections have
280 been suggested elsewhere (e.g. Small and Anderson 1998), but whether localized erosion
281 can actually result in mountain peak uplift remains controversial (Gilchrist and
282 Summerfield 1991).

283

284 In contrast, Brozovic *et al.* (1997) argued that topographic data from Nanga Parbat show
285 that glaciers are rapidly eroding topography above the equilibrium-line altitude (ELA)
286 exerting an altitudinal limit on mountain height. This has become known as the glacial
287 buzz-saw model and has subsequently been applied to understanding the topography in
288 other mountains, including the Cascade mountains in Washington, and the Chugach
289 Range in Alaska (Mitchell and Montgomery 2006; Spotila *et al.* 2004; Meigs & Sauber
290 2000; Montgomery *et al.* 2001). Figure 6 shows the relationship between mountain
291 topography, latitude, and present ELAs.

292

293 Other studies, however, such as Whipple *et al.* (1999) argued that there are geomorphic
294 limits to climate-induced increases in topographic relief and that neither fluvial nor
295 glacial erosion is likely to induce significant isostatic peak uplift. Whipple *et al.* (1999)
296 provide a quantitative overview and constraints, including empirical evidence to define
297 the effects of a transition from fluvial to glacial erosion. Whipple *et al.* (1999) showed

298 that in almost all non-glaciated landscapes an increase in erosivity of the fluvial system is
299 anticipated to lead to a reduction both in trunk stream and tributary valley relief. When
300 coupled with the constraint that hillslope relief rapidly attains a maximum in active
301 orogens, this observation implies that ridge to valley bottom relief will actually decrease
302 under these conditions. Whipple *et al.* (1999) suggest that relief increase is possible only
303 if a given climate change induces a decrease in erosivity along headwater channel
304 segments in concert with a simultaneous increase in erosivity downstream. An onset of
305 glaciation increases hillslope relief, valley widening, and the formation of hanging
306 valleys and overdeepenings, and relief reduction over short wavelengths. In contrast, over
307 long wavelengths there is a reduction in relief along trunk and tributary valley profiles. If
308 the upper reaches have thin cold based glaciers, ridges and peaks may be protected from
309 erosion and glacier valley profiles may become more concave, adding potentially to
310 overall glacial relief. However, for warm-based glaciers Whipple *et al.* (1999) argue that
311 relief production associated with each of the various glacial relief production mechanisms
312 scales with ice thickness. They also argue that relief production is limited to several
313 hundred meters and it is unlikely that climate change would induce significant amounts
314 of isostatic relief production.

315

316 This ability of glaciers and rivers to accomplish rapid erosion underlies a proposed
317 explanation for localized rapid rock uplift in the syntaxes of the Himalaya, a phenomenon
318 that has been dubbed the “tectonic aneurysm” (Zeitler *et al.* 2001; Koons 2002). The
319 aneurysm model argues that the dynamic interactions of focused erosion, topographic
320 stresses, peak uplift, rapid exhumation, thermal weakening of the lithosphere, and

321 deformation leads to localized feedbacks among erosion, deformation, and uplift (Fig. 7).
322 Significant debate exists, however, over the importance of mountain glaciers versus other
323 processes, such as fluvial erosion and mass movement, in shaping mountain landscapes
324 and driving the tectonic aneurysm (Hallet *et al.* 1996).

325

326 The discovery in the 1980s of the South Tibetan Detachment fault near the crest of the
327 Himalaya and the realization that it defined northward normal displacement of Tibet
328 stimulated the development of the extrusive flow model (sometimes referred to as the
329 extrusion model; Burchfiel *et al.* 1992; Fig. 8). The extrusive flow model theorizes
330 southward flow of ductile middle and lower crust that continuously replenishes the
331 Himalayan range front, transporting material from between the Main Frontal thrust and
332 the South Tibetan fault system, especially the rocks bounded by the South Tibetan
333 Detachment fault system above and the Main Central (sole) Thrust below (Hodges *et al.*
334 2001; Harris 2007). This southward extruding zone is thought to represent the ductile
335 lower crustal channel of Tibet that has ground its way to the surface since the Miocene
336 (Harris 2007). Theoretical models by Beaumont *et al.* (2001) argued that channel flow is
337 maintained by erosion of the Himalayan front. This view was enhanced by geomorphic
338 studies that suggest a feedback between erosion and extrusive flow (Wobus *et al.* 2003;
339 Hodges *et al.* 2004).

340

341 Examining the Marsyandi River valley that traverses a region of extremely high
342 exhumation between the South Tibetan Detachment and the Main Central Thrust, and
343 where there is very high precipitation, Burbank *et al.* (2003), argue that there is no direct

344 precipitation-erosion linkage because erosion rates are constant based on apatite fission-
345 track ages that show no systematic trend. Rather they suggest that additional factors that
346 influence river incision rates, such as channel width and sediment concentrations, must
347 compensate for differences in precipitation across the region. Moreover, spatially
348 constant erosion is a response to uniform, upward tectonic transport of Greater
349 Himalayan rock above a crustal ramp.

350

351 In contrast, on the basis of geologic mapping of the Marsyandi valley in central Nepal,
352 Hodges *et al.* (2004) showed that a zone of recent faulting is coincident with an abrupt
353 change in river gradient, which is thought to mark the transition from rapid uplift of the
354 Higher Himalaya to a region of slower uplift to the south, and likely reflects active
355 thrusting at the topographic front. They suggest that the zone of active thrusting is
356 coincident with a zone of intense monsoon precipitation, likely indicating a positive
357 feedback between focused erosion and deformation at the front of the Higher Himalayan
358 ranges.

359

360 Korup & Montgomery (2008) suggested a new twist on the landscape development of the
361 Himalayan-Tibetan orogen in their study of the geomorphology of Namche Barwa. In
362 theory, rivers in this region should progressively erode towards their heads, resulting in
363 the steady degradation of the plateau's margins and that over time, knickpoints should
364 migrate upstream and become less apparent as erosion progresses. Recently, however,
365 geologic evidence in the Namche Barwa region has shown that the Tsangpo and its
366 tributary streams that traverse Namche Barwa have likely been stable, remaining at the

367 same positions over at least the last million years, effectively preserving the margin of the
368 Tibetan Plateau (Finnegan *et al.* 2008). Various theories have been invoked to help
369 explain the preservation of the margin of the Himalayan-Tibetan orogen, including
370 theories of differential rock uplift matching erosion and other mechanisms, such as
371 landsliding that armors valley floors and helps protect them from river erosion (Lave &
372 Avouac 2001; Ouimet *et al.* 2007).

373

374 Korup & Montgomery (2008) highlight that broad stream valleys with substantial
375 terraces and thick valley fill sediments are present upstream of moraines that mark where
376 glaciers and their moraines dammed the Tsangpo and its tributaries. In contrast, stream
377 channels are more confined and are usually entrenched into bedrock downstream of the
378 moraines. They argued that the glaciers and/or the moraines impounded the streams to
379 produce large lakes that fill with sediment, increasing river sedimentation upstream (Fig.
380 9). These sediments then essentially protected the upstream valley from erosion as stream
381 power decreased in the newly established broad valleys. The sediments become incised to
382 form terraces, with little overall valley lowering. In contrast, the stream channels are
383 confined as they cut through the moraines, resulting in increased stream power and
384 leading to enhanced erosion and entrenchment into bedrock downstream of the moraine.
385 This, in turn, leads to enhanced bedrock uplift in the gorge sections, essentially
386 preserving the margin of Tibet.

387

388 All these models highlight an exciting trend in the Earth sciences linking endogenetic and
389 exogenic processes, and highlighting the complex feedbacks among these processes that
390 are reflected in the landscapes that develop.

391

392 **Extreme events**

393 The relative roles of high magnitude-low frequency and low magnitude-high frequency
394 events in landscape development have been long debated (Brunsden & Jones 1984).
395 Defining what constitutes a high magnitude-low frequency event is difficult for the
396 Himalayan-Tibetan orogen where geomorphic processes are often an order of magnitude
397 greater in size and effect than most other terrestrial settings, and the definition depends
398 on the temporal and spatial framework that is being considered. However, a catastrophic
399 flood that destroys extensive farmland, highways, and villages, a giant landslide
400 displacing >1 million m³ of displaced debris, and an earthquake of magnitude 7 or higher
401 clearly constitute high magnitude events. For clarity, this type of event will be referred to
402 as an *extreme event*.

403

404 Probably the most evident extreme geomorphic events are landslides triggered by large
405 earthquakes. Yet, there have not been many studies of earthquake-triggered landslides in
406 the Himalayan-Tibetan orogen because of the relatively low occurrence of large
407 earthquakes over the hundred years since records have been kept. However, the 1991 and
408 1999 Garhwal, the 2001 Kokoxili, the 2005 Kashmir, and the 2008 Wenchuan (Sichuan)
409 earthquakes have provided opportunities to study the effects of earthquake-triggered

410 landslides on landscape development (Owen *et al.* 1996; Barnard *et al.* 2001; Van der
411 Woerd *et al.* 2004).

412

413 During the Garhwal earthquakes, landsliding comprised mainly rock and debris
414 avalanches and were concentrated along the lower stretches of the valley slopes. Owen *et*
415 *al.* (1996) and Barnard *et al.* (2001) calculated the equivalent net lowering (denudation)
416 of the landscape by mapping and measuring the amount of sediment produced and moved
417 during and shortly after the earthquakes and concluded that this was small compared to
418 earthquake-induced landsliding in other mountainous regions. Furthermore, Owen *et al.*
419 (1996) and Barnard *et al.* (2001) were able to show that long-term denudation rates and
420 sediment flux resulting from human and monsoon activity in the region was far more
421 significant than earthquake-induced mass movements and associated processes. These
422 studies, therefore, questioned the relative importance of earthquake-induced landforms
423 and processes in landscape evolution of the Himalaya.

424

425 In contrast, landslides triggered by the 2005 Kashmir earthquake were much more
426 numerous occurring throughout a region of $>7500 \text{ km}^2$, but were highly concentrated,
427 associated with six geomorphic–geologic–anthropogenic settings (Owen *et al.* 2008;
428 Kamp *et al.* 2008). Owen *et al.* (2008) estimated that several thousand landslides were
429 triggered, mainly rock falls and debris falls, although translational rock and debris slides
430 also occurred. In addition, a debris avalanche (the Hattian Bala sturzstrom) comprising
431 $>80 \text{ million m}^3$ occurred at Hattian, which blocked streams created two lakes (Fig. 10).
432 The 2008 Wenchuan earthquake triggered numerous large landslides, including one that

433 buried >700 people (USGS, 2008), and probably many thousands of smaller landslides,
434 yet their full impact has still to be assessed.

435

436 Landsliding triggered by the 14 November 2001 magnitude 7.9 Kokoxili earthquake has
437 not been fully assessed, but Van der Woerd *et al.* (2004) were able to map the occurrence
438 of several giant ice avalanches (each involving > 1 million m³ of ice and snow) initiated
439 by slope failure from ice caps due to strong ground motion. These ice avalanches
440 transported little rock debris, and Van der Woerd *et al.* (2004) concluded that it is
441 unlikely that ice avalanches are very important in contributing to the landscape develop
442 in Tibet. Van der Woerd *et al.* (2004) argued, however, that given the appropriate
443 geologic and climatic conditions, ice avalanching may be an important process in the
444 landscape evolution of high mountainous terrains.

445

446 In summary, the importance of earthquake-triggered landslides for landscape
447 development has not been thoroughly assessed and is likely to be an important topic of
448 future research. However, non-earthquake triggered landslides are pervasive throughout
449 much of the Himalaya and are triggered by undercutting of slopes by fluvial and glacial
450 erosion. In monsoon areas, heavy seasonal rainfall enhances landsliding. There are
451 numerous large/giant landslides, which comprise > 1 million m³ of debris, throughout the
452 orogen. Many of these are ancient. Recent studies are suggesting they their formation is
453 temporally clustered and their movement is associated with times of enhanced monsoon,
454 such as during the early Holocene when higher groundwater levels enhance failures
455 (Bookhagen *et al.* 2005; Dortch *et al.* 2009; Fig. 11).

456

457 Landsliding can also initiate extreme flooding events. This occurs when landslides dam
458 drainages and create lakes that may drain catastrophically if the landslide dam fails. The
459 Indus valley provides several infamous examples of such extreme events. The most
460 notable was the Indus flood of 1841, which was the result of the drainage of a > 60 km-
461 long lake in the Indus and Gilgit valleys, which were blocked as the results of the
462 earthquake-triggered collapse of the Lichar Spur on Nanga Parbat in 1840. The flood
463 waters advanced > 400 km out of the Himalaya and devastated a Sikh army that was
464 camped on the Chach Plain near Attock (Mason 1929).

465

466 Owen (1996) provides other examples of landslide-dammed lakes that failed
467 catastrophically. The geomorphic consequences of such failures have not been
468 systematically assessed and quantified, but clearly they result in major landscape changes
469 involving large scale erosion and resedimentation.

470 The Garhwal, Kashmir, and Wenchuan earthquakes have focused much interest on
471 determining the frequency and magnitude of large and great earthquakes along the
472 Himalaya and in Tibet (e.g. Wesnousky *et al.* 1999; Bilham *et al.* 2001, Kumar *et al.*
473 2001, 2006; Feldl & Bilham 2006; Burchfiel *et al.* 2008; Kondo *et al.* 2008). These
474 studies show that great earthquakes are not uncommon in the Himalaya and are likely
475 very important for landscape development. In addition, they pose a very serious risk to
476 the people living in the orogen.

477

478

479 **Future trends**

480 Predicting the advances of future research trends in any discipline is challenging. The
481 major paradigm shifts and new technological developments applied to understanding
482 landscape development of the Himalaya-Tibetan orogen over the last few decades
483 illustrates how difficult it would have been to have predicted where the discipline would
484 have progressed just a few decades ago. Probably one of the greatest challenges for us is
485 to examine the complex variability between regions within the Himalayan-Tibetan
486 orogen, and to quantify the timing, rates, and magnitude of landscape development on
487 different timescales ranging from 10^0 to 10^6 years. The development and application of
488 new technologies, including satellite remote sensing (e.g., studies such as Bookhagen &
489 Burbank 2006) and global positioning systems (e.g., studies such as Chen *et al.* 2004;
490 Jade *et al.* 2004), plus analytical methods such as numerical dating, will allow many of
491 the new theories and models to be tested.

492

493 **Conclusion**

494 The continuous study of the Himalayan-Tibetan orogen over the last century has resulted
495 in exciting new paradigms on the nature and dynamics of landscape development within
496 continental-continental collisions zones. Of particular note is the realization that complex
497 links, interactions, and feedbacks exist among tectonics, climate, and landscape
498 development. New models based on these complex relationships include the influence of
499 climate on rock uplift by denudational unloading of the crust; the limiting of topography
500 by glaciation (the glacial buzz-saw); localized uplift at syntaxes by enhanced fluvial and
501 glacial erosion that, in turn, weaken the lithosphere and so enhance bedrock uplift (the

502 tectonic aneurysm); climate-driven out-of-sequence thrusting and focused erosion driving
503 extrusion of ductile crustal channels, and glacial damming leading to differential erosion
504 and uplift. Improved mapping and dating of landforms will inevitably allow us to test
505 these models and will likely result in the development of new paradigms.

506

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513

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1027 **Figures**

1028 Fig. 1. View of an alluvial fan in at Tigarc in the Nubra valley drawn by Drew (1875). (a)
1029 View from the mountains behind Charasa and (b) a profile view looking up the valley.

1030

1031 Fig. 2. Selected reconstructions for the extent of glaciation across Tibet and the bordering
1032 mountains for the maximum extent of glaciation during the Last Glacial (after Owen *et*
1033 *al.* 2008). (a) Klute's (1930) reconstruction based on a temperature depression of 4°C,
1034 with a shift of climatic zones to the south and an intensification of atmospheric
1035 circulation such that precipitation increased towards the dry areas of central Asia. (b)
1036 Frenzel's (1960) reconstruction based on the detailed work of Wissmann (1959) who
1037 evaluated the observations of the earliest explorers. (c) Kuhle's (1985) reconstruction
1038 based on field observations and extrapolation of large ELA depressions (>1000 m) from
1039 the margins of Tibet into the interior regions. (d) Reconstruction of Shi (1992) and Shi *et*
1040 *al.* (1992) based on detailed field mapping of glacial and associated landforms and
1041 sediments.

1042

1043 Fig. 3. Percentage of landform types in three valleys in the Lahul Himalaya, which were
1044 deglaciated at different times (after Owen *et al.* 1995). The data show an increase in
1045 paraglacial fans, screes, and terraces and a decrease of moraines with increasing age of
1046 deglaciation.

1047

1048 Fig. 4. Digital elevation model of Tibet and the bordering mountains showing major
1049 faults and sutures (adapted from Owen 2004, compiled from Searle 1991; Cunningham *et*

1050 *al.* 1996; Chung *et al.* 1998; Yin *et al.* 1999; Yin and Harrison 2000; Blisniuk *et al.* 2001;
 1051 and Hurtado *et al.* 2001). Estimates of Late Quaternary strike-slip, convergent, and
 1052 extensional rates are shown in mm a^{-1} (after Larson *et al.* 1999 and Tapponnier *et al.*
 1053 2001). AKMS – Ayimaqin-Kunlun-Mutztagh Suture; ASRR – Ailao Shan-Red River
 1054 Shear Zone; AF – Altai Fault; ATF – Altyn Tagh Fault; BNS – Bangong Nujiang Suture;
 1055 GTFS – Gobi-Tien Shan Fault System; HF – Haiyuan fault; ITS – Indus Tsangpo Suture;
 1056 JHF – Junggar Hegen Fault; JS- Jinsha Suture; KF - Karakoram Fault; KJFZ –
 1057 Karakoram Jiali Fault Zone; KLF – Kunlun fault; KS – Kudi Suture; LSF – Longmen
 1058 Shan Fault; MBT – Main Boundary Thrust; MCT – Main Central Thrust; MKT – Main
 1059 Karakoram Thrust (Shyok Suture Zone); MMT – Main Mantle Thrust; NB – Namche
 1060 Barwa; NGF – North Gobi fault; NP – Nanga Parbat; NQS – North Qilian Suture; NTSF
 1061 – North Tien Shan fault; STSF – South Tien Shan fault; TFF – Talus-Fergana Fault; XF –
 1062 Xianshuihe Fault.

1063

1064 Fig. 5. Model of relief generation via glacial erosion for the case of mountain erosion
 1065 after Small and Anderson (1998; modified from Molnar and England 1990). Center panel
 1066 represents initial condition. When erosion (shaded area) is spatially uniform ($E_S = \hat{E}$), the
 1067 sum of erosionally-driven rock uplift ($U_{\hat{E}}$) and summit erosion (E_S) results in lower
 1068 summit elevations (ΔZ_S) (left panel). When erosion is spatially variable (right panel),
 1069 changes in summit elevation are positive because rock uplift is greater than summit
 1070 erosion ($\Delta Z_S = E_S + U_{\hat{E}}(x,y)$). Rock uplift is the same in each case because average
 1071 erosion (\hat{E}), which drives rock uplift, is equal (shaded areas are the same size). The
 1072 present geophysical relief (\check{R}) is the mean elevation difference between a smooth surface

1073 connecting the highest points in the landscape (dashed line) and the current topography. It
1074 represents the average of valley erosion (E_v) minus summit erosion calculated at each
1075 point in the landscape, including summit flats.

1076

1077 Fig. 6. Glacial buzz-saw model. (a) The present and minimum snowline elevations during
1078 the Last Glacial plotted along a topographic transect along the cordillera of North and
1079 South America illustrating the positive relationship between topography and snowline
1080 elevation (after Broecker & Denton 1990; Skinner et al. 2004). (b) Frequency
1081 distribution of altitude and slope angles for selected physiographic areas within the
1082 western syntaxis of the Himalaya (DD – dissected portion of Deosai Plateau, NP – Nanga
1083 Parbat, G – Ghujerab mountains (northern Karakoram), SN – area north of Skardu) after
1084 Brozović *et al.* (1997). The arrows on the horizontal axis indicate the mean elevation for
1085 each region; values are inside the graphs. The dark vertical lines running from top to
1086 bottom in the graphs show the range of snowline altitudes for each region. The three
1087 subhorizontal gray lines show the 25th (lower line), 50th, and 75th (upper line) percentile
1088 of slope distribution as a function of altitude for each region. The light gray shaded areas
1089 on the slope distribution curves highlight the regions of moderate slopes that generally
1090 coincide with the modal elevations. These graphs illustrate the strong relationship
1091 between snowline elevation and topography, suggesting that glaciation places limits on
1092 topography.

1093

1094 Fig. 7. Schematic representation illustrating the dynamics of a tectonic aneurysm, shown
1095 at a mature stage based on Nanga Parbat (see text for explanation; after Zeitler *et al.*
1096 2001).

1097

1098 Fig. 8. Schematic section across the Himalaya and southern Tibet illustrating channel
1099 flow and extrusion of the channel, and the variation of precipitation, mean hillslope
1100 angle, relative stream channel steepness, and annual monsoon precipitation (adapted from
1101 Hodges 2006).

1102

1103 Fig. 9. Possible mechanism for the preservation of the edge of southeast Tibet as
1104 suggested by Korup and Montgomery (2008) and adapted from Owen (2008) with the
1105 following is the sequence of events: 1. Glacier advance dams a river; 2. The deposition of
1106 sediments in the resulting upstream lake and stream both protects the valley floor from
1107 erosion and reduces the stream's erosive power; 3. Streams draining through the glacier
1108 and/or moraine are confined, so stream flow is strong and downstream erosion increases;
1109 4. Stream incision into the bedrock essentially weakens the crust and enhances bedrock
1110 uplift to the surface; 5. As streams travel farther from the knick point, smaller gradients
1111 reduce their erosive power; 6. The glacier retreats, but the events are repeated numerous
1112 times as glaciers advance and retreat in response to climate change. The repetition of this
1113 sequence of events maintains equilibrium between erosion and bedrock uplift, essentially
1114 preserving the topography of the margin of southeast Tibet.

1115

1116 Fig. 10. The Hattian Bala landslide triggered by the 2005 Kashmir earthquake. The
1117 landslide buried four villages resulting in >450 fatalities, blocked two streams, and
1118 formed two lakes.

1119

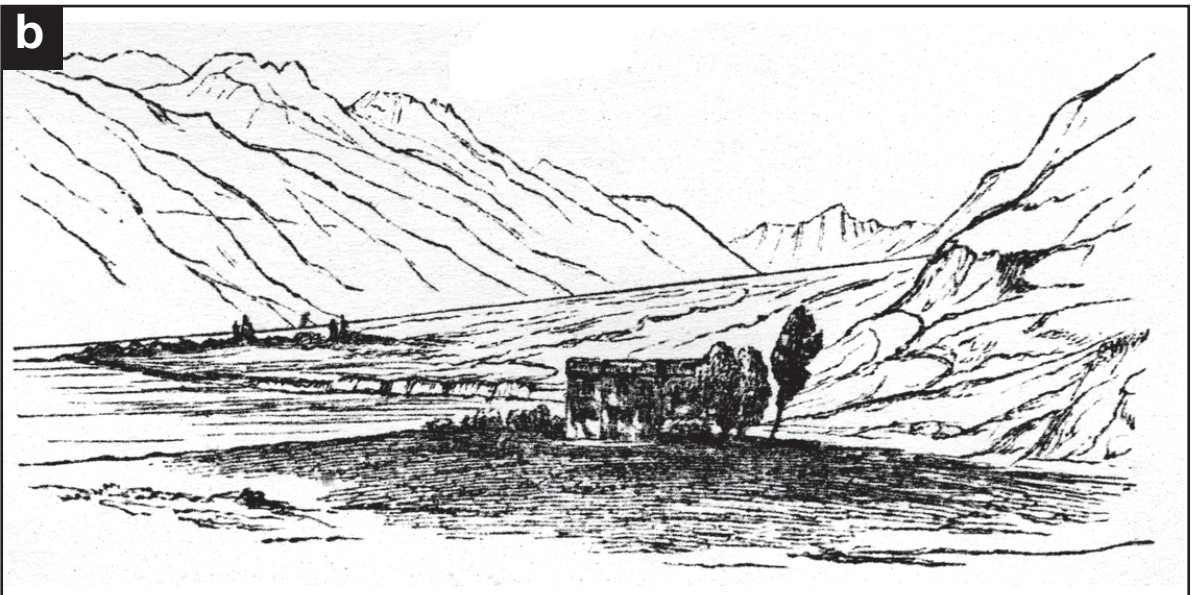
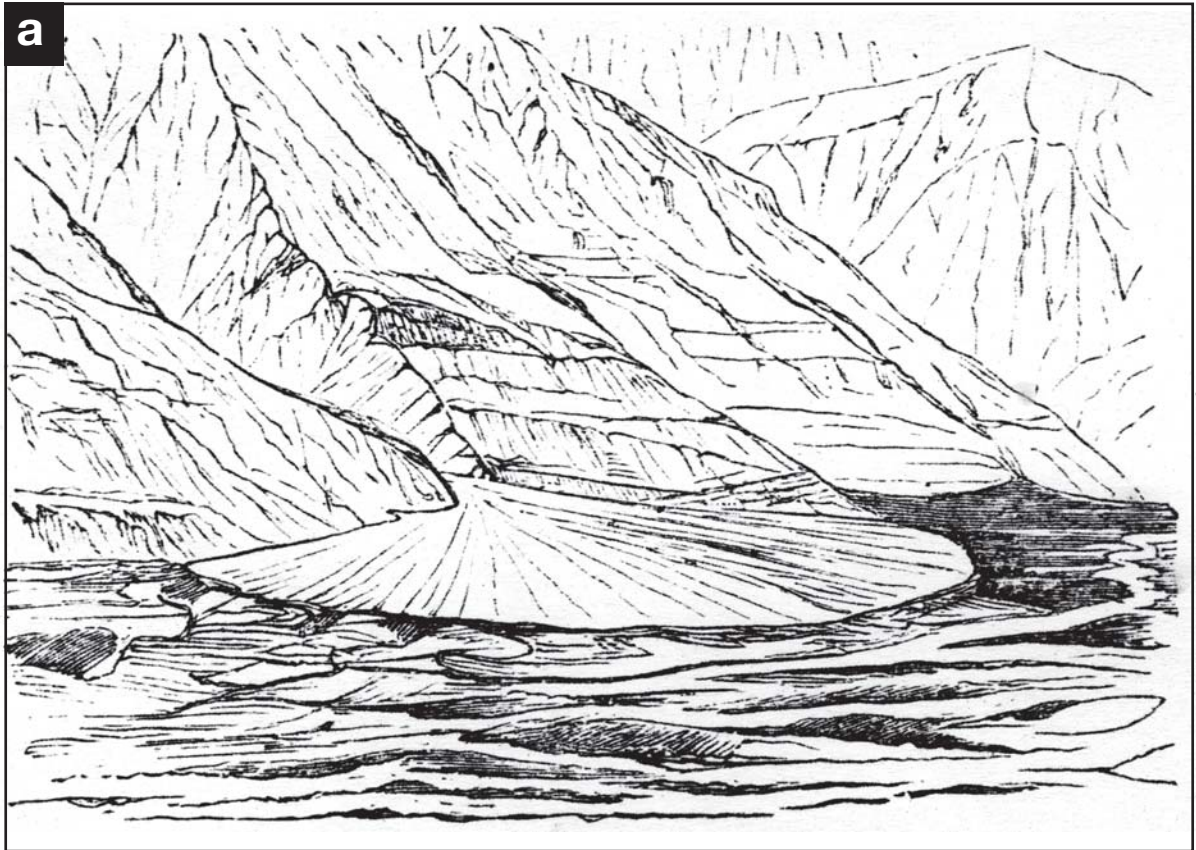
1120 Fig. 11. The relationship between landslides of known age and curves of monsoon
1121 intensity and precipitation based on lake cores (after Dortch *et al.* 2009). The thin gray
1122 intervals indicate enhanced monsoon phases and subsequent increased precipitation based
1123 on lake core data taken from Gasse *et al.* (1996). The histogram uses a 2 ka bin width for
1124 landslide occurrence. Dashed horizontal lines on right side show maximum age ranges of
1125 rock avalanche clusters crossing the modeled monsoon intensity curve. Thick gray bar is
1126 period of enhanced monsoon precipitation between 30 and 40 ka inferred from lake
1127 terraces, pollen, lake cores, and ice cores by Shi *et al.* (2001). The three curves in the
1128 proxy data section show the simulated monsoon pressure index (DM percentage, solid
1129 line) for the Indian Ocean, simulated changes in precipitation (P percentage, thick black
1130 dashed line) in southern Asia, and variations in Northern Hemisphere solar radiation (DS
1131 percentage, thin gray dashed line) after Prell and Kutzbach (1987).

1132

1133

1134

FIGURE 1 A & B



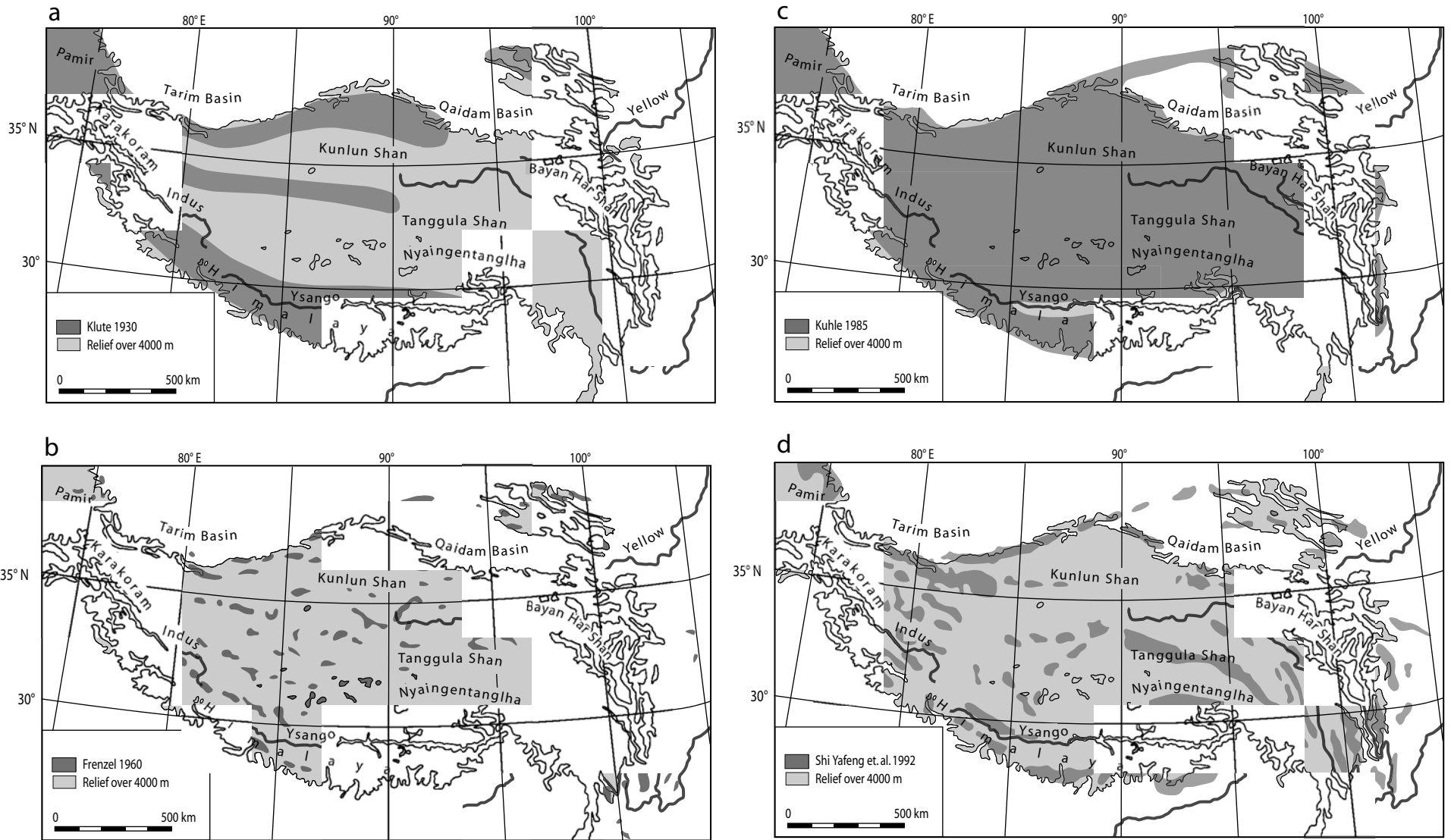
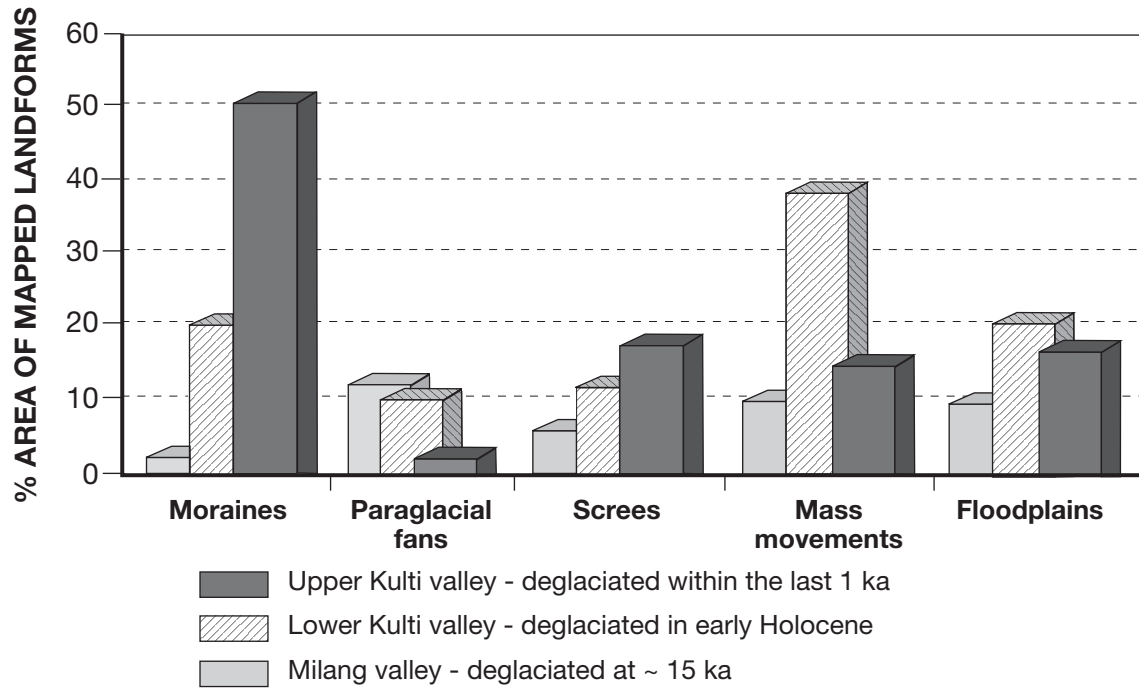


Figure 2

FIGURE 3



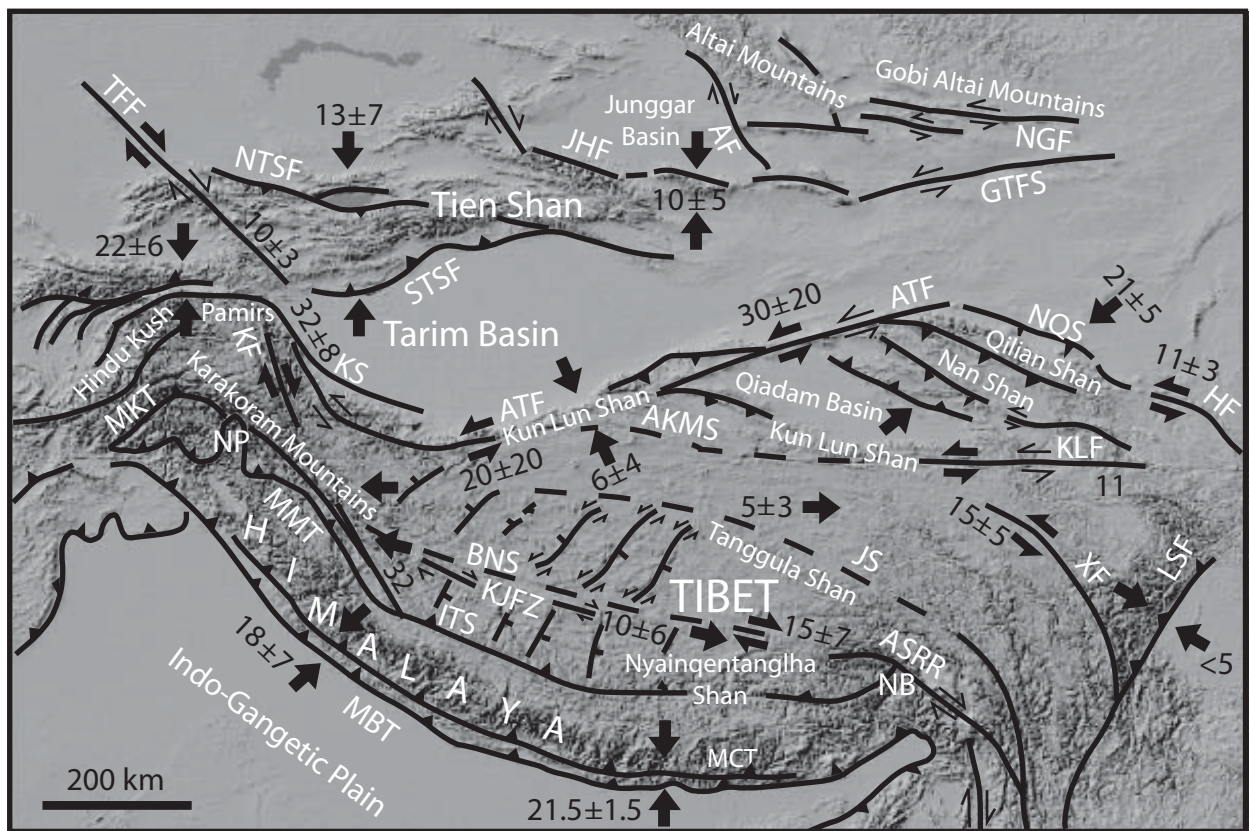


Figure 4

FIGURE 5

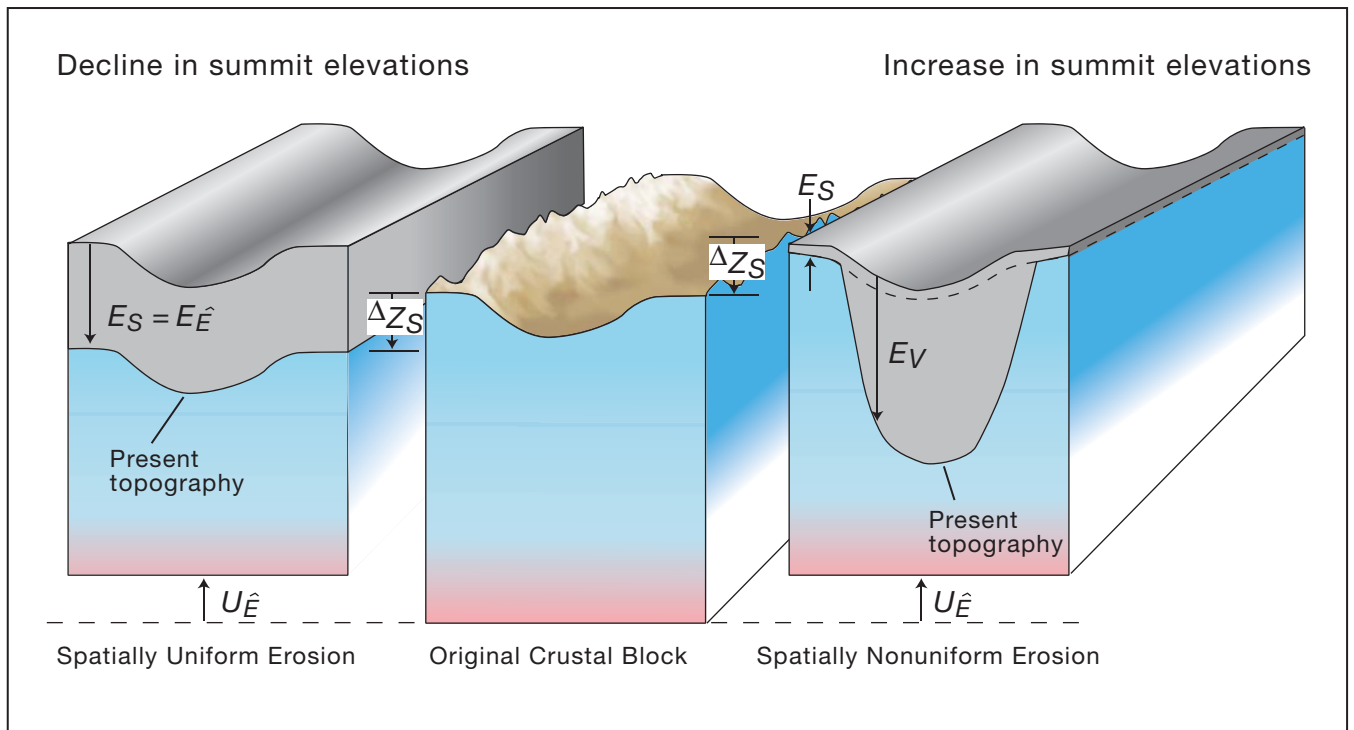


FIGURE 6

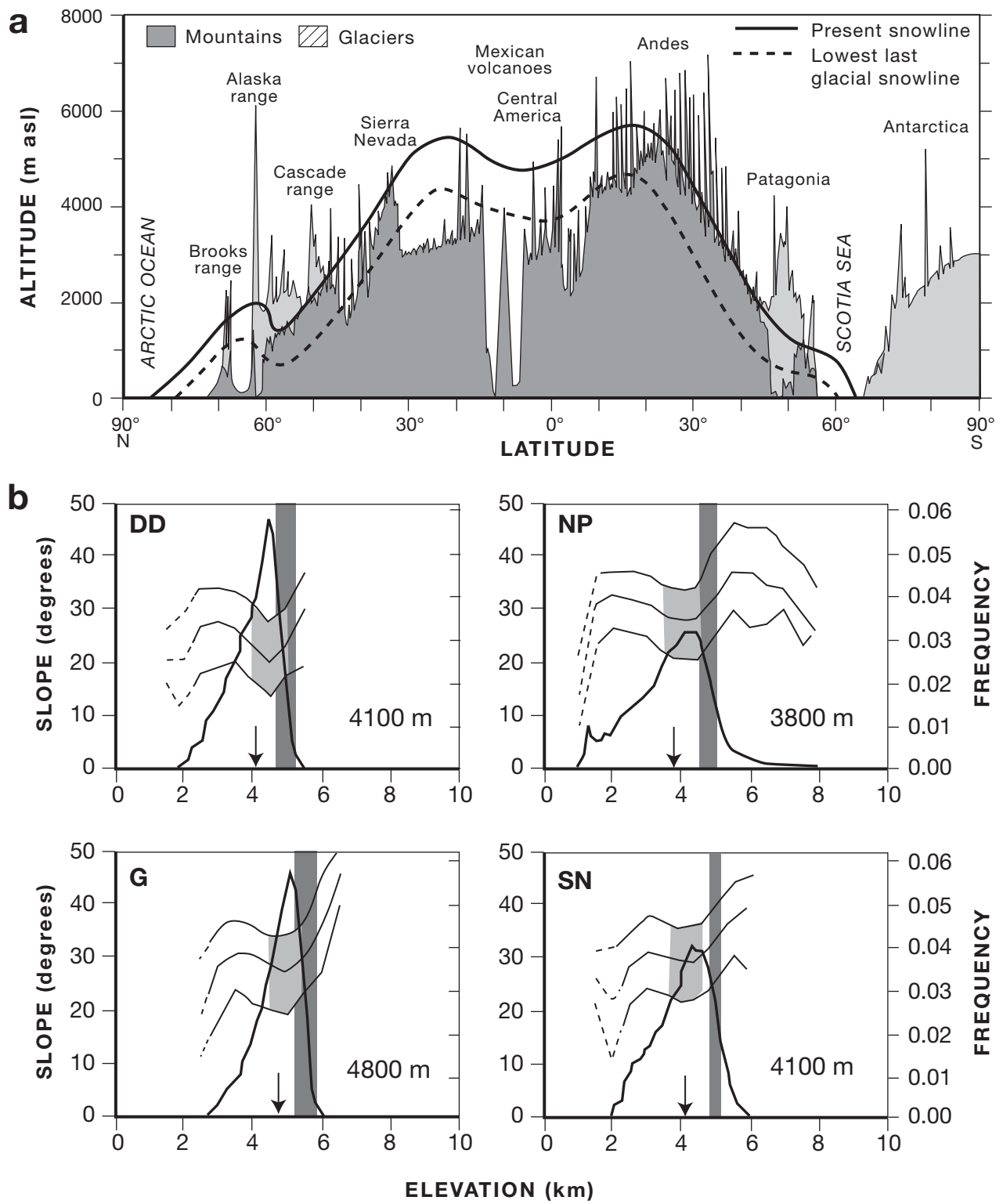


FIGURE 7

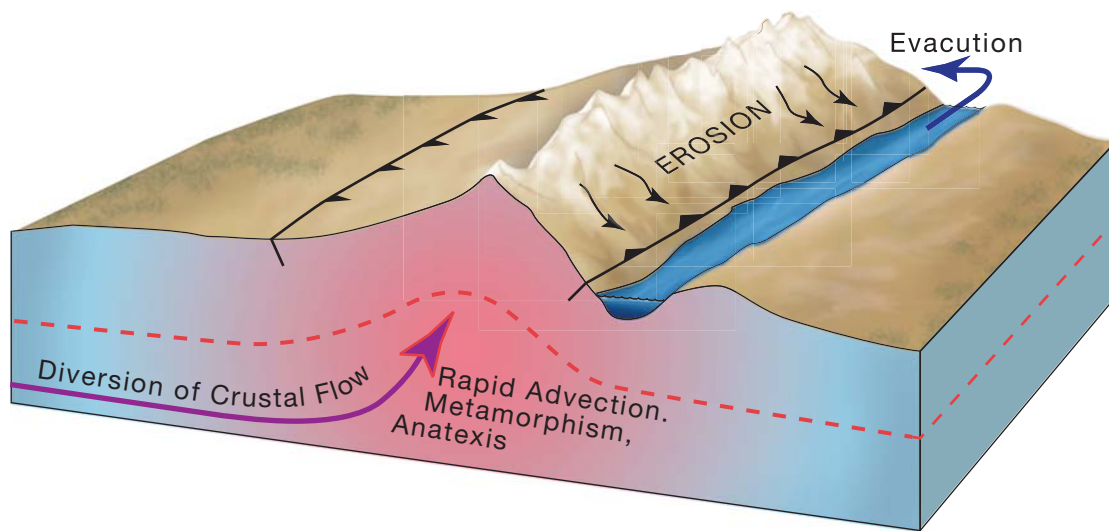


FIGURE 8

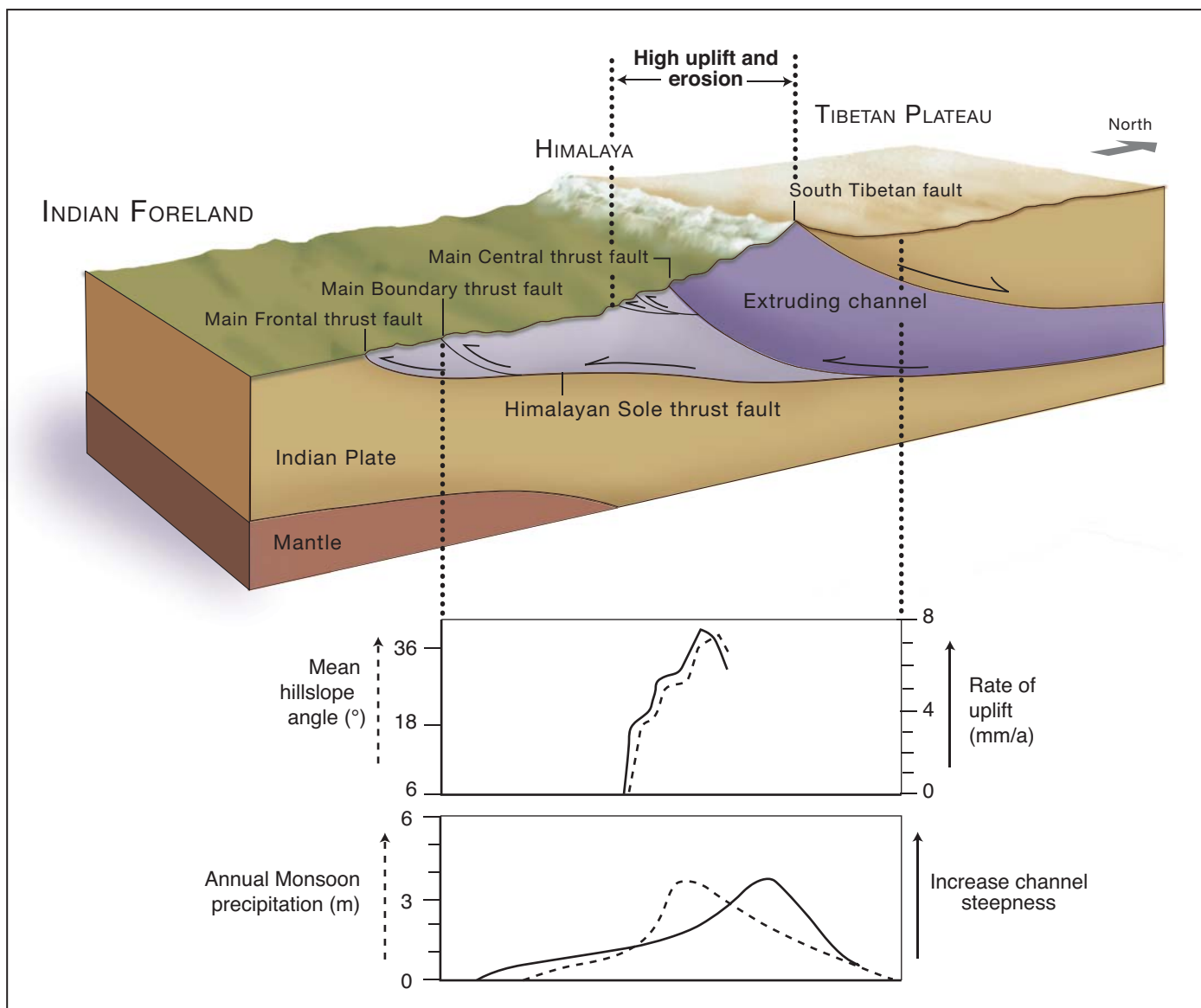


FIGURE 9

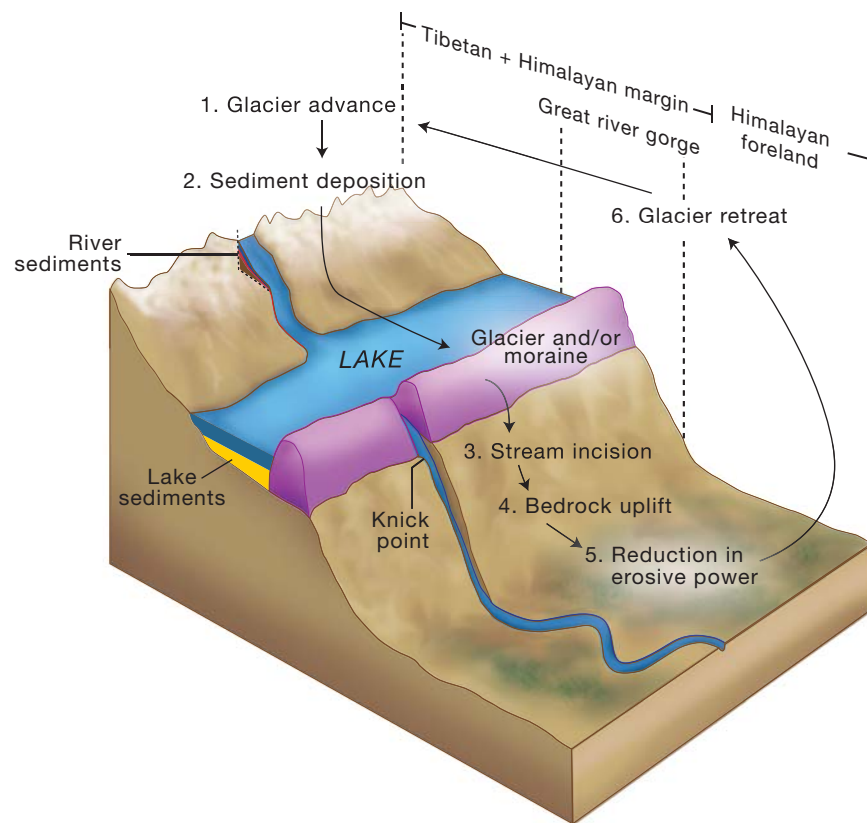




Figure 10

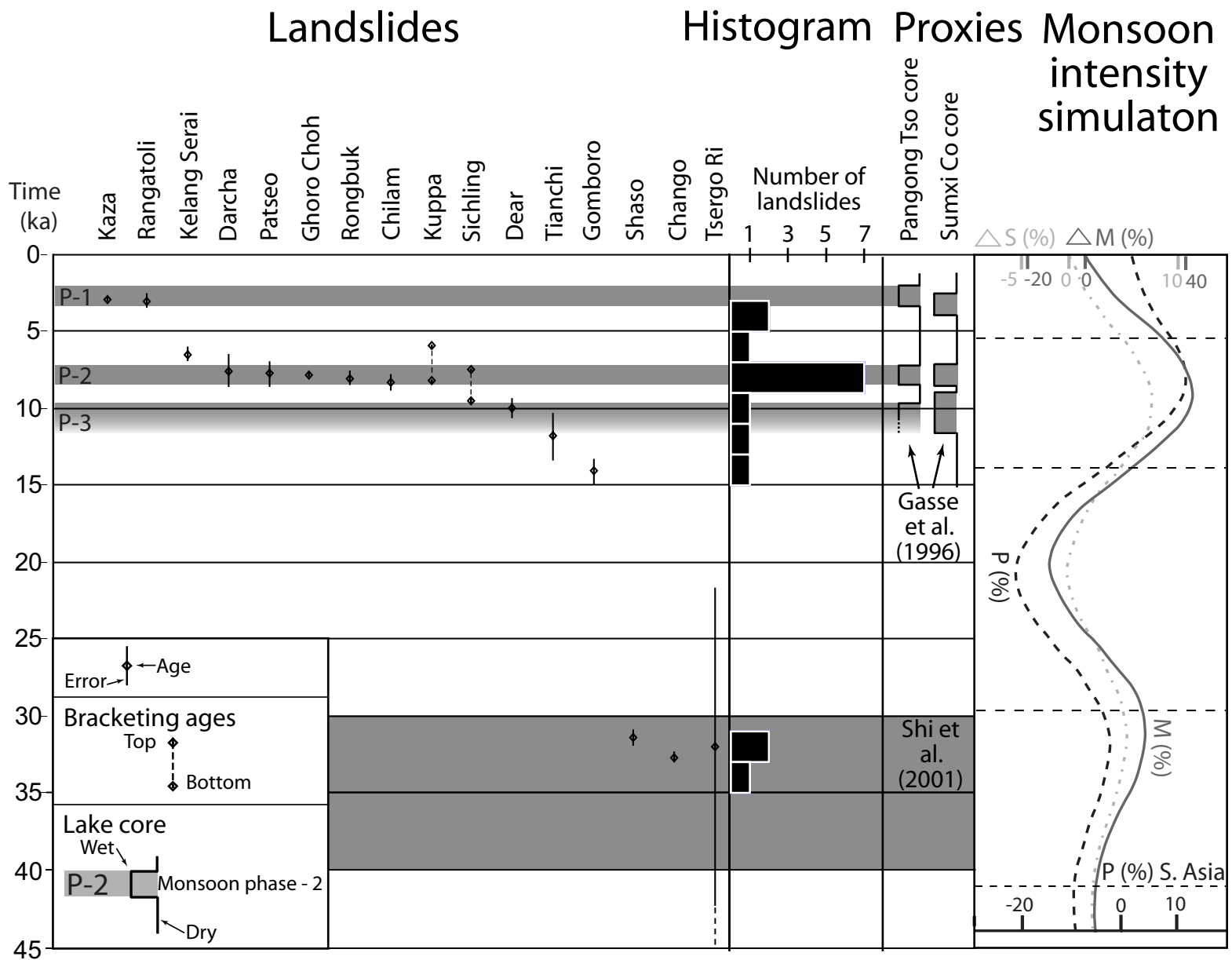


Figure 11